
Holding Net Movement Report	means a report that: <ul style="list-style-type: none">(a) for the specified period; and(b) in respect of each CHESS Holding of Financial Products in the specified class that has undergone a Holding Balance change during the specified period,(c) sets out, a summary on a daily basis of:(d) total units added to the Holding;(e) total units deducted from the Holding;(f) total units added to the Holding as a result of registry authorised transactions;(g) total units deducted from the Holding as a result of registry authorised transactions; and(h) the End of Day closing balance for the Holding;
Incapacity Law	means a law relating to the administration of the estates of persons who, through mental or physical incapacity, are incapable of managing their affairs;
Industry Group	means one of the following groups: <ul style="list-style-type: none">(a) Participating Organisations or senior officers of Participating Organisations;(b) senior officers of Issuers or of Issuers' Third Party Providers; or(c) senior officers of NBPs;
Issuer	means an entity that issues or makes available, or proposes to issue or make available, Financial Products and includes (without limitation): <ul style="list-style-type: none">(a) a listed company or a company whose Financial Products are quoted on an exempt stock market or special stock market;(b) a warrant issuer;(c) the responsible entity of a managed investment scheme;(d) a Foreign Issuer;
Issuer Operated Subregister	means: <ul style="list-style-type: none">(a) an Issuer Sponsored Subregister; or(b) a Certificated Subregister;
Issuer Sponsored Holding	means a Holding of Financial Products on the Issuer Sponsored Subregister;

Issuer Sponsored Subregister	means: <ul style="list-style-type: none"> (a) that part of an Issuer's register that records uncertificated Holdings of Financial Products in accordance with Listing Rule 8.2; or (b) that part of a CDI Register, that is administered by the Issuer (and not SCH);
Issuer Sponsored to CHES	a Transfer or Conversion of Financial Products from an Issuer Sponsored Holding to a CHES Holding;
Issuer Warranties and Indemnities	means warranties and indemnities given by an Issuer under these Rules;
Last Corporate Action Event Date	means in the case of an Entitlement under a Corporate Action that involves: <ul style="list-style-type: none"> (a) the issue of Financial Products only, the Despatch Date; (b) the payment of money only, the due date of payment; or (c) a combination of the issue of Financial Products and the payment of money, the later of the Despatch Date and the due date of payment; <p>Where, before the date when the Issuer must have completed its obligation to pay money or issue Financial Products is unknown or unclear the Last Corporate Action Event Date will be a date SCH reasonably determines is appropriate in the circumstances and notifies the Issuer and each Participant;</p>
Lending Pool	means the Financial Products that are made available to ASX by the SLS Provider to operate the Securities Lending Service;
Listing Rules	means the Listing Rules of an Exchange;
Locked	in relation to a Holding, means subject to a Holding Lock or a Holder Record Lock;
MAC	stands for Message Authentication Code, and means a code appended to a Message by SCH or a CHES User for the purpose of enabling the recipient of the Message to confirm the identity of the CHES User Transmitting the Message;

Margin	means 10% of the Current Valuation or such other percentage prescribed by SCH;
Marked Transfer	means a Registrable Transfer Document that has been marked by the Issuer or a marking body;
Marked Transfer Details	in relation to a Marked Transfer referring to Financial Products in a Certificated Holding, means the Marking Number and the number and class of Financial Products represented by the Marked Transfer;
Marking Number	means the unique reference number allocated to a Marked Transfer by the Issuer or a marking body;
Match and Matched	in relation to Messages Transmitted to SCH by a Participant, means that the Message contains, or under the Rules may be taken to contain, the same details for message fields that require mandatory matching;
Matched Messages	means: <ul style="list-style-type: none">(a) in relation to Dual Entry RTGS Messages, Messages that are Matched under Rule 7A.10.4;(b) in relation to Dual Entry Settlement Messages, Messages that are Matched under Rule 6.2.7 or 7.16;(c) in relation to Dual Entry Switch to Scheduled Settlement Messages, Messages that are Matched under Rule 7A.9.4; and(d) in relation to Dual Entry Switch to RTGS Messages, Messages that are Matched under Rule 7.12A, 7.16A or 7.28.5, and in any other case means Valid Messages that are Matched;
Maximum Percentage	means 10% or such other percentage prescribed by SCH;
Maximum Value	means \$350,000 or such other amount prescribed by SCH;
Message	means an electronic message of a kind specified in the EIS for use in CHES;

NBP	stands for Non Broker Participant and means: <ul style="list-style-type: none">(a) an entity admitted to participate in CHESS under Rule 2.3.1, 2.3.2 or 2.4.1;(b) a department or subsidiary of ASX admitted to participate in CHESS under Rule 2.5.1(d) (including OCH); or(c) a Foreign Clearing House admitted to participate in CHESS under Rule 2.5B.1;
Net Broker Settlement Instruction	means a DvP Settlement Instruction to give effect to a Broker / Broker transaction that has been novated to CCP and netted in accordance with the Rules.
Net Position Record	in relation to an RTGS Participant, means a facility established within CHESS through which SCH tracks and records the outcome of RTGS Instructions due for settlement on any RTGS Business Day, that relate to a particular Payment Facility of that Participant;
Net Position Record Status	means at any time the status of a Net Position Record as authorised at that time by the RTGS Payments Provider that maintains the Payment Facility to which that Net Position Record is linked, being either: <ul style="list-style-type: none">(a) active; or(b) inactive;
Nil Paid Rights Record	means the record maintained by an Issuer of Holders of renounceable rights not held on the CHESS Nil Paid Rights Subregister;
Notice	has a meaning given by Rule 21.7;
Notice of Death	means a death certificate or any other formal document that is acceptable by SCH as evidence of a Holder's death;
NSSP	Stands for Non Settlement Sponsorship Participant and means a Participating Organisation admitted to participate in CHESS under Rule 2.1A.1.
OCH	means Options Clearing House Pty. Limited; (ABN 48 001 314 503)
OCH Account	means an account held with OCH by a clearing member of OCH;

Off Market Transaction	means a transaction in CHES Approved Financial Products that is not an On Market Transaction;
Offer	means: (a) an offer for subscription or an invitation to subscribe for Financial Products, under which an Issuer shall issue; or (b) an offer under which an Issuer shall dispose of, CHES Approved Financial Products to successful applicants;
Offer Accepted Subposition	means a Subposition for the reservation of Financial Products in a CHES Holding which are the subject of an acceptance under a takeover bid;
Old Corporations Act	means the Corporations Act as in force immediately before 11 March 2002;
On Market Transaction	means a transaction in CHES Approved Financial Products in relation to which one of the following conditions is satisfied: (a) the transaction was entered into in the ordinary course of trading on the Exchange's market; or (b) the transaction is, under the ASX Business Rules or Listing Rules, described, or to be described, as 'special' when it is reported to ASX; (c) in relation to a transaction between a Participating Organisation and a non-Participating Organisation, a contract note is issued by the Participating Organisation in relation to a transaction under paragraph (a) or (b); or (d) in relation to a transaction between two entities that are not Participating Organisations, the transaction is entered into solely for the purpose of facilitating settlement of a transaction of a kind referred to in paragraph (a) or (b);
Originating Message	has a meaning given by Rule 21.10;
Other Interest	means any right or interest whether legal or equitable in the Participating Foreign Security and includes an option to acquire a right or interest in the Participating Foreign Security;

Parent DvP Settlement Instruction	means a Parent Settlement Instruction with a Settlement Amount scheduled to settle in DvP Settlement;
Parent Financial Products	means a class of CHES Approved Financial Products to which an Entitlement to cash or Financial Products attaches that, during an Ex Period, may be Transferred with or without the Entitlement;
Parent Settlement Instruction	means a Settlement Instruction that gives rise to an Accrued Settlement Instruction as a result of a Corporate Action;
Participant	means a Broker or an NBP;
Participant Managed	in relation to the attributes of a Net Position Record, means any of the matters set out in Rule 7A.5.12;
Participant Sponsored Holder	means a person that has a current Sponsorship Agreement with a Participant as required or permitted under these Rules or the ASX Business Rules;
Participant Sponsored Holding	means a CHES Holding of a Participant Sponsored Holder;
Participant Warranties and Indemnities	means warranties and indemnities given by a Participant under these Rules;
Participation Requirements	means matters set out in Section 2 in relation to which SCH must be satisfied in order for an entity to be admitted to participate in CHES in any capacity;
Participating Organisation	means a Participating Organisation of ASX;
Participating Foreign Security	means Financial Products: <ul style="list-style-type: none"> (a) traded on a stock market other than in Australia; and (b) declared by SCH to be CHES Approved from time to time;

Note: Financial Products in this definition are not restricted by jurisdictional limits in the Corporations Act.

Party	<p>in relation to a Proceeding or Appeal, means:</p> <ul style="list-style-type: none">(a) the CHES User to whom a Contravention Notice was given in the Proceeding; or(b) SCH or the CHES User to or by whom an Appeal Notice was given in the Appeal, <p>as the case requires;</p>
Payment Facility	<p>means a Facility operated for a Participant at a Payments Provider for the purposes of paying and receiving payments in DvP Settlement;</p>
Payment Obligation	<p>in relation to an RTGS Instruction means an obligation on the part of one party to pay a cash amount to the other on settlement;</p>
Payments Provider	<p>means an Entity that:</p> <ul style="list-style-type: none">(a) operates an exchange settlement account with the Reserve Bank of Australia in its own name;(b) has the operational capacity to:<ul style="list-style-type: none">(i) authorise and make payments on behalf of Participants;(ii) make payments to Participants; and(iii) register entries in the CHES Payments Provider User Group for the purpose of discharging its net obligation to make payment to the CHES Bank or its net entitlement to receive payment from the CHES Bank in accordance with the Standard Payments Provider Deed;(c) meets the technical and performance requirements prescribed by SCH to ensure that the Entity does not affect the integrity or orderly operation of CHES; and(d) has executed a Standard Payments Provider Deed;
Payments Provider Managed	<p>in relation to the attributes of a Net Position Record, means any of the matters set out in Rule 7A.5.4;</p>

Payment Shortfall	for a Payment Facility, means: (a) if the Participant's net obligation to make payment is not authorised, the amount of the net obligation for which authorisation is sought; or (b) if the Participant's net obligation to make payment is not authorised, the difference between the amount of the net obligation to make the payment that has already been authorised by the Payments Provider and the amount of the net obligation to make a payment for which further authorisation is sought from the Payments Provider;
Payment Systems and Netting Act	means the Payment Systems and Netting Act 1998 (Cth);
Performance Bond	means a bond issued to SCH at the request of an NBP, under which the issuer of the bond is unconditionally bound to pay to SCH upon demand, some or all of the amount of money specified in the bond;
Phase 2 Accreditation Requirements	the technical requirements notified by SCH to a Participant to ensure that it is capable of operating in DvP Settlement;
PID	stands for Participant Identifier and means a UIC allocated by SCH to a CHESSE Participant that is: (a) used as the identification code of the Participant that controls a Holding on the CHESSE Subregister; and (b) included in a Message header to identify the source and/or destination of CHESSE Data Messages;
Pre-Cash Settlement Period	means, for the purposes of Regulation 7.5.44 of the Corporations Regulations 15 Business Days.
Pre-commencement Testing	means testing at the direction of SCH to establish whether a CHESSE User meets the Technical and Performance Requirements;
Prescribed Percentage	means 50% or such other percentage determined by SCH;

Prescribed Person	means the National Manager, Systems and Projects of the Exchange for the time being or any other officer from time to time notified as such by SCH to Participants and RTGS Payments Providers;
President	means the President of a Tribunal appointed in accordance with Section 18;
Principal	in relation to an Entity, means each of: (a) any parent Entity of the Entity;

	(b) where the Entity is a body, each Director or person in the position of a Director;
	(c) where the Entity consists of two or more partners or trustees, each principal (within the meaning of paragraphs (a) and (b)) of each of those partners or trustees;
Principal Issuer	means: (a) a Foreign Issuer; or (b) a DI Issuer;
Principal Register	means the register of those Holdings of Principal Securities maintained by a Principal Issuer in Australia under these Rules;
Principal Securities	means Financial Products issued or made available by a Principal Issuer;
Procedures	means procedures made by the Board under Rule 1.8.1;
Proceeding	means proceedings taken under Section 18 by SCH against a CHESS User and commenced by a Contravention Notice;
Publish a Notice	means to publish a Notice in at least one national newspaper and at least one state or territory based newspaper in each state and territory;
Real Time Gross Settlement	means the processing and settling of payment and delivery obligations in real time and on a gross, not net, basis, the fundamental characteristic of which is that the payment and delivery components of a transaction become irrevocable at the time of settlement and, in relation to CHESS, is effected in accordance with systems and procedures contained in Section 7A;
Receiving Broker	means the Broker that is entitled to receive delivery of Financial Products under a BBS Transaction;
Recognised Exchange	means an Exchange other than ASX recognised pursuant to Rule 2.5A.3;

Recognised Physical Access Point	means: <ul style="list-style-type: none">(a) in the case of a CHES User, the physical location of an application system that the CHES User employs to operate an interface with CHES; or(b) in the case of SCH, the physical location of the application system that operates CHES;
Reconstruction	means an alteration to the issued capital of an Issuer, which affects the number, or nature, of Financial Products held by a Holder and includes (without limitation) a reorganisation or a merger;
Record Date	means 5:00pm (or, in the case of a SCH-Regulated Transfer, a later time permitted by the Rules) on the date specified by an Issuer as the date by reference to which the Issuer will establish Cum Entitlement Balances for the purpose of identifying the persons entitled to the benefit of a Corporate Action;
Recorded	in relation to an RTGS Instruction, means that its details have been stored in CHES in accordance with Rule 7A.12;
Records	means books, computer software, information processing equipment and any other item on which information is stored or recorded in any manner;
Registrable Transfer Document	means any document that an Issuer is entitled to accept as a valid instrument of transfer or a Transfer Request Document;
Registration Details	means the name, address and Residency Indicator of a Holder;
Related Body Corporate	has the meaning set out in Section 50 of the Corporations Act;
Remove	means to move a Holding between a Principal Register and a CHES or an Issuer Operated Subregister without a change of legal ownership;
Report	means a Standing Report or a Demand Report;

Reporting Point	means a particular point during a Business Day when information is stored by CHESSE for the purposes of reporting data to CHESSE Users; Acceptable values comprise: (a) end of Settlement Processing Phase; (b) Trade Instruction Cut-Off; (c) End of Day;
Reserve	in Section 7A in relation to Financial Products, has the meaning given in Rule 7A.16.1(d);
Reserved Processing Period	Means the End of Day Processing Phase;
Residency Indicator	means a code used to indicate the status of the ultimate beneficial owner or owners of FOR Financial Products in a Holding on the CHESSE Subregister or an Issuer Operated Subregister, for the purposes of settling transactions in FOR Financial Products; <i>(i.e. "D" for Domestic, "F" for Foreign Person, and in the case of Holdings of Financial Products where beneficial ownership is both domestic and foreign, "M" for Mixed)</i>
Restricted Financial Products	means Financial Products that are subject to a restriction agreement under Listing Rule 9.1;
Restriction	in relation to the participation of a Participant, means any limitation on the entitlement of the Participant to send a Message or a class of Messages to SCH;
Revised Settlement Amount	means the Settlement Amount of a DvP Settlement Instruction revised in accordance with Rule 7.28.2;
Rights Period	means the period from Start of Day on the date that rights trading begins on an Exchange to End of Day on the date that application money to take up those rights must be paid to the Issuer;
RITS	means the Reserve Bank Information and Transfer System;
RITS Postsettlement Advice	means a settlement confirmation, elected to be received by an RTGS Payments Provider, that is generated by RITS/RTGS and sent through the AIF to that RTGS Payments Provider;

RITS Presettlement Advice	means an advice, elected to be received by an RTGS Payments Provider to enable it to make a credit decision in connection with the performance of a Payment Obligation, that is generated by RITS/RTGS and sent through the AIF to that RTGS Payments Provider;
RITS/RTGS	means RITS, as operated by the Reserve Bank of Australia for Real Time Gross Settlement.
RITS Regulations	means the regulations and conditions of operation that govern RITS as published from time to time by the Reserve Bank of Australia;
Routine Reporting	means electronic reporting that is generated automatically by CHES as transactions are processed;
RTGS	stands for Real Time Gross Settlement;
RTGS Account Identifier	means a numeric identifier (that may, but need not, be an account number) agreed between an RTGS Participant and an RTGS Payments Provider to uniquely identify the Participant's account that is to be debited, or credited, with the amount of any Payment Obligation, on settlement of an RTGS Instruction in accordance with Rule 7A.22;
RTGS Accredited	in relation to a Participant, has the meaning set out in Rule 7A.4.2;
RTGS Business Day	means a Settlement Day within the meaning of the RITS Regulations, or any other day declared by the Reserve Bank as a day on which RITS/RTGS will operate that is notified by SCH to Participants;
RTGS Commencement Date	means the date declared by SCH as the date on which Real Time Gross Settlement commences in CHES, being 27 November 2000;
RTGS Contingency Report	means a report of the settlement status of CHES-related funds transfer requests sent to RITS/RTGS that is provided to SCH by the Reserve Bank of Australia in manner and form as agreed between them;

RTGS Cut-Off	means on any RTGS Business Day, 4.30pm Sydney time or such other time as SCH may from time to time determine;
RTGS Delivery Shortfall	<p>in relation to Financial Products of a particular class in a Holding at any time on the RTGS Settlement Date for a particular RTGS Instruction, means that the sum of:</p> <ul style="list-style-type: none">(a) the number of Financial Products of that class required to be delivered from that Holding in Real Time Gross Settlement under that RTGS Instruction on that day;(b) the number of Financial Products of that class Reserved against that Holding in relation to RTGS Instructions at that time in the RTGS Settling Phase, and(c) prior to SCH recording under Rule 7.29.1(f)(ii) a movement of Financial Products of that class against that Holding to effect DvP Net Settlement on that day, the number of Financial Products of that class that SCH has determined at Settlement Cut-off will be so recorded as a movement against that holding at DvP Notification on that day, is greater than:(d) the total number of Available Financial Products at that time in the Holding;
RTGS Eligible	in relation to Financial Products, has the meaning set out in Rule 7A.1.1;
RTGS End of Day	means on any RTGS Business Day, 5.00pm Sydney time or such other time as SCH may from time to time determine;
RTGS Instruction	means an instruction to SCH to settle an RTGS Transaction in Real Time Gross Settlement through the CHESSE Feeder System, and includes a DvP RTGS Instruction;
RTGS Instruction Cut-off	on any RTGS Business Day means 4.25pm Sydney time or such other time as SCH may from time to time determine;
RTGS Mandatory	in relation to an RTGS Transaction, has the meaning set out in Rule 7A.1.9;

RTGS Message	means a Message that, in accordance with the requirements of the EIS, instructs SCH to settle an RTGS Transaction in Real Time Gross Settlement;
RTGS Participant	means a Participant: <ul style="list-style-type: none">(a) that satisfies the criteria for participation in Real Time Gross Settlement set out in Rule 7A.4; and(b) for which a Net Position Record has been established under the Rules that records the Net Position Record Status as active;
RTGS Participation Requirements	in relation to a Participant, means any technical and performance requirements notified by SCH to the Participant to ensure that it is capable of operating in Real Time Gross Settlement;
RTGS Payments Provider	means a Payments Provider that: <ul style="list-style-type: none">(a) satisfies the criteria for participation in Real Time Gross Settlement in CHES set out in Rule 7A.3.1; and(b) has been admitted to participate in Real Time Gross Settlement in CHES in that capacity;
RTGS Pre-commencement Testing	means testing at the direction of SCH to establish whether a prospective RTGS Participant meets the RTGS Participation Requirements;
RTGS Settlement Date	means the RTGS Business Day specified, or taken to be specified, in an RTGS Instruction as the date on which the counterparties intend that RTGS Instruction to settle in Real Time Gross Settlement;
RTGS Settlement Report	means a report required to be made available by SCH to an RTGS Payments Provider in accordance with Rule 7A.27;
RTGS Settling Phase	in relation to an RTGS Instruction, means the time period that commences in accordance with Rule 7A.19.1 and ends when all components of that RTGS Instruction have been settled in CHES in accordance with Rule 7A.22;
RTGS Transaction	has the meaning set out in Rule 7A.1.1A.;

Rules	means these Rules, including the appendices and any State of Emergency Rules;
SCH	means ASTC as the operator of a licensed clearing and settlement facility under the Corporations Act and where the case requires shall include an agent appointed by SCH in accordance with Rule 1.4;
SCH Governors	means the persons appointed by the Board as SCH Governors under Rule 1.15.1;
SCH Indemnity	means the indemnity in Rule 1.11;
SCH Regulated Transfer	means any Transfer or purported Transfer of CHES Approved Financial Products;
Scheduled Settlement	means the process by which transactions are settled in CHES in accordance with Section 7 whether or not in DvP Net Settlement;
Scheduled Settlement Instruction	means an instruction to SCH to effect a Settlement Transfer in Scheduled Settlement and, if the transaction is a DvP Transaction, payment in DvP Net Settlement;
Scheduled Time	means the time within or by which a requirement under these Rules must be complied with as specified in Appendix 1 to these Rules;
SEATS	means Stock Exchange Automated Trading System;
Section	means a section of these Rules;
Security Code	means the code that is assigned to a class of CHES Approved Financial Products by an Exchange;
SEGC	means Securities Exchanges Guarantee Corporation Ltd (ABN 19 008 626 793);
Segregated Account	means an account maintained in accordance with these Rules with an Approved Clearing House which contains Principal Securities or Participating Foreign Securities held solely on behalf of the Depository Nominee;

Settlement Account	means a Holder Record maintained in CHESSE by a Participant for the purpose of facilitating settlement of transactions in CHESSE Approved Financial Products with other Participants;
Settlement Adjustment	means an adjustment to the Settlement Amount of a DvP Settlement Instruction;
Settlement Amount	means the consideration for a DvP Settlement Instruction;
Settlement Amount Tolerance	means \$1.00 or such other amount that SCH prescribes;
Settlement Cut-off	means, on any Business Day, 10.30 am Sydney time or such other time as SCH may from time to time determine;
Settlement Date	means the Business Day on which a Settlement Instruction is scheduled to settle;
Settlement Holding	means a Holding of Financial Products for which the Holder Record is a Settlement Account;
Settlement Instruction	means: <ul style="list-style-type: none"> (a) a Scheduled Settlement Instruction; or (b) an RTGS Instruction;
Settlement Participant	means: <ul style="list-style-type: none"> (a) a Participating Organisation that has been admitted to participate in CHESSE as a Broker under Rule 2.1.1; (b) an entity that has been admitted to participate in CHESSE as a NBP under Rule 2.3.1 or Rule 2.3.2; (c) a department or subsidiary of ASX that has been admitted to participate in CHESSE as a Broker or NBP under Rule 2.5.1; or (d) a Foreign Clearing House that has been admitted to participate in CHESSE as a NBP under Rule 2.5B.1;
Settlement Processing Phase	in relation to DvP Net Settlement, means, on any Business Day, the time period commencing after Settlement Cut-off during which Settlement Transfers are processed by SCH against CHESSE Holdings;

Settlement Transfer	means a Transfer of Financial Products that gives effect to a Settlement Instruction;
Single Entry Demand Message	means a Message that complies with Rule 6.2.1;
Single Entry Demand Transfer	means a Demand Transfer of Financial Products that gives effect to a Single Entry Demand Message;
Single Entry Settlement Message	means a Settlement Message that complies with Rule 7.17;
Single Entry Settlement Instruction	means a Settlement Instruction that gives effect to a Single Entry Settlement Message;
SLS	means the Securities Lending Service;
SLS Loan	means a loan of Financial Products made by ASX to a Broker under the Securities Lending Service;
SLS Provider	means an entity with whom ASX has executed an agreement or arrangement to make Financial Products available to the Securities Lending Service;
SLS Return Loan	means a return of an SLS Loan by a Broker to ASX;
SLS Settlement Instruction	means a Settlement Instruction to give effect to a SLS Loan or SLS Return Loan;
Source Holding	means the Holding from which Financial Products will be deducted in giving effect to a Transfer, Conversion, Corporate Action or other transaction;
Specified Terms	means those terms and conditions that are required to be included in a Broker Sponsorship Agreement under Rule 9A.2.
Sponsorship Agreement	means a written agreement between a Participant and another person, signed by both parties, as required under Section 9A of these Rules;
SRN	stands for Securityholder Reference Number and means a number allocated by an Issuer to identify a Holder on an Issuer Operated Subregister;

Stamping Consideration	means the consideration paid in relation to the Off Market Transaction, or the unencumbered market value of the Financial Products, the subject of that transaction, whichever is the higher;
Standard Acceptance Form	means a standard entitlement and acceptance form in respect of renounceable rights as specified by SCH from time to time;
Standard Client Bank Deed	means a standard deed executed by ASTC and a bank;
Standard Conversion Form	means a standard form, as specified by SCH from time to time, for the conversion of convertible Financial Products;
Standard Exercise Form	means a standard form of notice of exercise, as specified by SCH from time to time, for options and other Financial Products that carry exerciseable rights;
Standard Payments Provider Deed	means a standard deed executed by ASTC and a Payments Provider and includes a Standard Client Bank Deed;
Standard Settlement Price	means a price determined by reference to bids / offers and the last sale price of a class of Financial Products on the Business Day before the Settlement Date on which a Net Broker Settlement Instruction Fails in accordance with these Rules;
Standing Buy Account Identifier	means an RTGS Account Identifier that is notified to SCH under Rule 7A.6.1 or Rule 7A.5.12 for the purposes of an RTGS Instruction where the Participant will, on settlement, be the payer of the Payment Obligation identified in that RTGS Instruction;
Standing HIN	means a HIN that is notified to SCH under Rule 9.3.1 or 10.4.1;
Standing Instructions	means a Holder's instructions to an Issuer in relation to matters relevant to Holdings, including (without limitation) TFN notification, Residency Indicator, direct credit of dividends or interest payments, annual report elections and elections in respect of shareholders' dividend plans;

Standing Report	means one of a series of Messages periodically Transmitted by SCH to a CHESS User, each of which provides information about CHESS Holdings or CHESS Subregister movements in accordance with parameters specified by the CHESS User;
Standing Sell Account Identifier	means an RTGS Sell Account Identifier that is notified to SCH under Rule 7A.6.1 or Rule 7A.5.12 for the purposes of an RTGS Instruction where the Participant will, on settlement, be the payee of the Payment Obligation identified in that RTGS Instruction;
Standing Settlement HIN	means a HIN notified to SCH under Rules 9.3.1 or 10.4.1;
Start of Day	means, on any Trading Day, 8.00 am Sydney time or such other time as SCH may from time to time determine;
State of Emergency	means any: <ul style="list-style-type: none">(a) fire, power failure or restriction, communication breakdown, accident, flood, war or threat of war, embargo, boycott, labour dispute, unavailability of data processing or any other computer system or facility, act of God; or(b) other event which, in the opinion of the Board, prevents or significantly hinders the operations of CHESS;
State of Emergency Rules	means any Rules made by the Board under Rule 1.6.1(a);
State Revenue Authority	means an authority of a State or Territory responsible for the administration of that State's or that Territory's stamp duty laws;
Subposition	means a facility in CHESS by which in accordance with Rule 14.1.3: <ul style="list-style-type: none">(a) activity in relation to Financial Products held in a CHESS Holding may be restricted; and(b) access to those Financial Products for limited purposes may be given to a Participant other than the Controlling Participant;

Subregister	means: <ul style="list-style-type: none">(a) in the case of Financial Products other than CDIs, a CHES Subregister or an Issuer Operated Subregister; or(b) in the case of CDIs, a CDI Register;
Super Participant	means: <ul style="list-style-type: none">(a) in relation to a group of Participants within paragraph (a) of the definition of Super Participant Group, any Participant within that group that is notified to SCH by all the Participants within that group; or(b) in relation to a group of Participants within paragraph (b) of the definition of Super Participant Group, the Settlement Participant;
Super Participant Group	means: <ul style="list-style-type: none">(a) a group of Participants that are related bodies corporate within the meaning of section 50 of the Corporations Act; or(b) a Settlement Participant which has a Clearing Agreement with one or more NSSPs and each of those NSSPs with whom it has a Clearing Agreement;
Surveillance Report	means a report generated by CHES that identifies changes to: <ul style="list-style-type: none">(a) Broker / Broker transactions notified to SCH by ASX under Rule 7.4; and(b) Settlement Instructions that result from Matched Dual Entry Settlement Messages,(c) to assist SCH in monitoring compliance with these Rules;
Switch to Scheduled Settlement Message	means a Message that, in accordance with the requirements of the EIS, requests that a transaction be removed from Real Time Gross Settlement in CHES and settled in Scheduled Settlement;
Takeover Consideration Code	means a unique code allocated by an Exchange in respect of each alternate form of consideration offered under a takeover;

Takeover Transfer	means a Transfer of Financial Products from a CHESSE Holding pursuant to acceptance of an offer for the Financial Products made under a takeover scheme;
Takeover Transferee Holding	means a CHESSE Holding to which Financial Products are to be Transferred pursuant to acceptances of offers made under a takeover bid;
Target Holding	means the Holding into which Financial Products will be entered in giving effect to a Transfer, Conversion, Corporate Action or other transaction;
Tax	means any present or future tax, levy, impost, duty, charge, fee, deduction, or withholding of whatever nature, levied, collected, assessed or imposed by any government or semi-government authority and any amount imposed in respect of any of the above;
Technical and Performance Requirements	means the requirements on CHESSE Users set out in Rule 4.2.1;
Terms and Conditions for FDI Controlling Participants	means those terms and conditions between AIS, CDN and the Controlling Participant of FDIs from time to time displayed on the World Link Website;
TFN	stands for Tax File Number and means a numeric code allocated by the Australian Taxation Office for taxation purposes;
Third Party Clearing Rules	means the amendments to the ASX Business Rules and to these Rules which come into effect on or about April 1999 introducing, among other things, the concepts of trading participants and clearing participants.
Third Party Provider	means an Entity that: (a) operates an interface with CHESSE; (b) performs any obligations of a CHESSE User under these Rules; or (c) uses facilities provided by SCH, on behalf of a CHESSE User;
Threshold Value	means \$75,000 or such other amount prescribed by SCH;

Title	<p>in relation to Financial Products, means:</p> <ul style="list-style-type: none">(a) legal title where the Financial Products can be owned at law, and(b) equitable or beneficial title where the Financial Products can be owned only in equity;
TNSC	means TNS Clearing Pty Ltd, a subsidiary of SCH;
Total Security Balance Report	means a report that sets out the aggregate of all Holding Balances held on the CHESSE Subregister for a class of Financial Products as at a specified point in time;
Trade Date	means the date on which an agreement or arrangement for the purchase or sale of Financial Products was executed;
Trade Instruction Cut-Off	means, on any Business Day, 10.30am Sydney Time or such other time as SCH may from time to time determine;
Trading Day	<p>means a day other than:</p> <ul style="list-style-type: none">(a) a Saturday, Sunday, New Year's Day, Good Friday, Easter Monday, Christmas Day, Boxing Day; and(b) any other day that an Exchange shall declare and publish is not a trading day; <p><i>Note: This definition mirrors the ASX Business Rules definition.</i></p>
Transaction Identifier	means a reference number identifying a Transfer or Conversion that is generated by a CHESSE User on Transmitting a Message to SCH;
Transaction Statement	means a transaction statement for an Issuer Sponsored Holding as referred to in Listing Rules 8.5, 8.6 and 8.7;
Transfer	<p>means a transfer of Financial Products, or for the purposes of Section 5A, a transfer of Allocation Interests:</p> <ul style="list-style-type: none">(a) from a CHESSE Holding to any other Holding; or(b) from any Holding to a CHESSE Holding;

Transfer Request Document	means a document supplied by an NBP to an Issuer that entitles the Issuer to authorise a Transfer of Financial Products from an Issuer Sponsored or Certificated Holding to a CHESSE Holding;
Transition Period	Means the period from 11 March 2002 to 10 March 2004 or such later date as determined by the Commission;
Transmit	means cause a Message to be made available for collection in the Message collection facility provided in CHESSE for Messages passing between SCH and CHESSE Users; <i>Note: Rule 4.5.1 specifies when a CHESSE User or SCH is taken to have Transmitted a Message.</i>
Transmute	means to cause: (a) Principal Securities to be converted into CDIs, or CDIs to be converted into Principal Securities; or (b) Participating Foreign Securities to be converted into FDIs, or FDIs to be converted into Participating Foreign Securities; under these Rules, without any change in beneficial ownership;
Transmutation Ratio	means the ratio which identifies the number or fraction of CDIs into which a Principal Security may be converted, and the number or fraction of Principal Securities into which a CDI may be converted;
Tribunal	means the Disciplinary Tribunal or the Appeal Tribunal;
Trustee Company	means a trustee company within the meaning of State or Territory Trustee Companies legislation or a Public Trustee of a State or Territory;

UIC

stands for User Identification Code and means a unique numeric code allocated by SCH to SCH and each CHESS User for the purpose of identifying the source and destination of Messages and which may be:

- (a) the existing SEATS Broker number of a Broker;
- (b) the UIC of an Issuer; or
- (c) a PID;

Valid	<p>in relation to a Message, means a Message that:</p> <ul style="list-style-type: none">(a) identifies the source of the Message in the Message header by specifying a current source UIC that is compatible with the specified AIC;(b) correctly identifies the destination of the Message in the Message header by specifying the current UIC for the targeted Message recipient;(c) is formatted in accordance with and contains all the mandatory data requirements specified in the EIS;(d) has been properly authenticated, (determined by reference to the MAC); and(e) meets CHES encryption requirements specified in the EIS;
Warranty and Indemnity Provision	<p>means a provision of:</p> <ul style="list-style-type: none">(a) the Participant Warranties and Indemnities;(b) the Issuer Warranties and Indemnities;or(c) the SCH Indemnity;
Wholesale Loan	<p>means a loan of Financial Products other than an SLS Loan or a Bulk SLS Loan;</p>
Wholesale Return Loan	<p>means a return of a Wholesale Loan;</p>
Withdrawal Instructions	<p>means written or oral instructions from a Participant Sponsored Holder to the Controlling Participant for the withdrawal of Financial Products from a Participant Sponsored Holding and includes instructions:</p> <ul style="list-style-type: none">(a) for the Conversion of Financial Products in a Participant Sponsored Holding to any other mode of Holding;(b) to initiate a change of sponsorship for the Financial Products;(c) to endorse or initiate an off market transfer of Financial Products; or(d) to accept a takeover offer for the Financial Products on behalf of the Participant Sponsored Holder;

(e) to accept a takeover offer for the Securities on behalf of the Participant Sponsored Holder;

World Link Website

means in relation to the ASX World Link service the information (whether data, text, images, speech or otherwise) concerning the ASX World Link service displayed from time to time by AIS or a Related Body Corporate of ASX on the internet at the URL: <https://www.asxonline.com>, or at any other additional or replacement URL notified by AIS to Participants from time to time, as that information is varied from time to time.