

YOUR REF:

OUR REF: CLE:CJE:5335194

WRITER'S TEL: (02) 9334 8596 / (02) 9334 8756

WRITER'S NAME: Christine Ecob / Catherine Ekambi

**ABBOTT
TOUT**
SOLICITORS

22 May 2002

Ms Rose Webb
Regional Director
The Australian Competition and Consumer Commission
Level 7
123 Pitt Street
SYDNEY NSW 2000

LEVEL 42
MLC CENTRE
19-29 MARTIN PLACE
SYDNEY NSW 2000
DX 129 SYDNEY
TEL: (02) 9334 8555
FAX: (02) 9334 8585

solicitors@abbotttout.com.au
www.abbotttout.com.au

Dear Ms Webb

**EXCLUSIVE DEALING NOTIFICATION (FORM G) AND SUBMISSIONS IN
SUPPORT BY ROYAL & SUNALLIANCE PORTFOLIO SERVICES LIMITED**

We are acting on behalf of Royal & Sun Alliance Portfolio Services Limited in relation to the above matter. On behalf of our client we **enclose** the following documents:

1. Exclusive Dealing Notification (Form G) signed by David O'Bryen on behalf of Royal & SunAlliance Portfolio Services Limited;
2. Submissions in Support of Exclusive Dealing Notification made by Royal & SunAlliance Portfolio Services Limited;

We understand that there is an official lodgement fee of \$1,000 for a third line forcing notification (other than by a proprietary company or an individual).

Our client has instructed us that it wishes to pay the lodgement fee by credit card. Accordingly, please deduct the filing fee from the credit card account described on the attached page. Once the payment has been processed please securely destroy the attached page.

Our client requests that the credit card details set out on the attached page be kept strictly confidential and that those details not be disclosed to anyone except for the sole purpose of effecting payment of the lodgement fee.

Our client also wishes to claim confidentiality for the whole of the submissions document filed by it in support of the Exclusive Dealing Notification. In this regard, our client requests that the Commission exercise its discretion to exclude the submissions document from the public register under s95(3)(b) of the Trade Practices Act 1974 (Cth) on the basis that the submissions document contains information of a confidential nature which is highly commercially sensitive to our client including, but not limited to, information concerning our client's

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existing business and its future business plans, and also our client's confidential assessment of products offered by different banks. If this information were included on the public register, this would be highly prejudicial to our client.

If you have any queries or you require further information relating to the Exclusive Dealing Notification or submissions in support of the Exclusive Dealing Notification, please contact either Christine Ecob or Catherine Ekambi of our firm. Contact details are set out below:

Ms Christine Ecob

Partner

Ph: (02) 9334 8596

Fx: (02) 9334 8585

Email: cecob@abbotttout.com.au

Ms Catherine Ekambi

Solicitor

Ph: (02) 9334 8756

Ph: (02) 9334 8585

Email: cekambi@abbotttout.com.au

Yours faithfully

ABBOTT TOUT

Per 

Form G

Commonwealth of Australia
Trade Practices Act 1974 --- Sub-section 93(1)
**EXCLUSIVE DEALING
NOTIFICATION**

To the Australian Competition and Consumer Commission:

Notice is hereby given, in accordance with sub-section 93(1) of the Trade Practices Act 1974, of particulars of conduct or of proposed conduct of a kind referred to in sub-section 47(2), (3), (4), (5), (6) or (7), or paragraph 47 (8) (a), (b) or (c) or (9) (a), (b), (c) or (d) of that Act in which the person giving notice engages or proposes to engage.

(PLEASE READ DIRECTIONS AND NOTICES ON BACK OF FORM)

1. (a) Name of person giving notice ROYAL + SUN ALLIANCE PORTFOLIO SERVICES LIMITED ABN 61 063 427 958
(See Direction 2 on the back of this form)
(b) Short description of business carried on by that person
OPERATION OF AN INVESTOR DIRECTED PORTFOLIO SERVICE (WRAP SERVICE) CALLED "VISTA"
(c) Address in Australia for service of documents on that person
LEVEL 23, 2 MARKET STREET SYDNEY NSW 2000

2. (a) Description of the goods or services in relation to the supply or acquisition of which this notice relates
(CMT) IOPS BANK A/C - SEE ANNEXURE
(b) Description of the conduct or proposed conduct
TRANSACTIONS ON MACQUARIE BANK (CMT) ACCOUNTS - SEE ANNEXURE
(See Direction 4 on the back of this Form)

3. (a) Class or classes of persons to which the conduct relates
INVESTORS (RETAIL) USING AN IOPS SERVICE - SEE ANNEXURE
(b) Number of those persons--
(i) At present time NIL
(ii) Estimated within the next year 2000 - SEE ANNEXURE
(c) Where number of persons stated in item 3 (b) (i) is less than 50, their names and addresses

4. Names and address of person authorised by the person giving this notice to provide additional information in relation to this notice
DAVID O'BRIEN CL. ROYAL + SUN ALLIANCE PORTFOLIO SERVICES LIMITED
LEVEL 23, 2 MARKET STREET SYDNEY NSW 2000
TELEPHONE: (02) 8275 3551 FAX: (02) 8275 3504

Dated 17 MAY 19 2002

Signed by/on behalf of the applicant giving notice

DO

(Signature)

DAVID O'BRIEN

(Full Name)

DIRECTOR / SECRETARY

(Description)

ROYAL + SUN ALLIANCE PORTFOLIO SERVICES LIMITED.

Royal and Sun Alliance Portfolio Services Limited

**The submission filed in support of the exclusive dealing notification on 22 May
has been granted confidentiality and is not available for public access**