



Australian Competition & Consumer Commission

PO Box 1199
Dickson ACT 2602
470 Northbourne Ave
Dickson ACT 2602
Australia
Ph (02) 6243 1111
Fax (02) 6243 1199

Our Ref: C2000/1477
Your Ref: RJT/SHS/991978
Contact Officer: Anita George
Contact Phone: (02) 6243 1235

November 23 2000

Mr Robert Tracy
Henry Davis York Lawyers
Level 25 135 King St
SYDNEY NSW 2000

Dear Mr Tracy

Re: Notification N31038 and N31039 lodged in relation to conduct by the Australian Derivatives Exchange (ADX) and the Options Clearing House Limited (OCH)

I refer to the above notifications which were lodged with the Australian Competition and Consumer Commission (the Commission) on 15 September 2000.

On 27 October 2000, a facsimile was sent to you in which I informed you that I had decided not to make inquiries concerning the notified conduct. In this facsimile, reference was also made to the fact that there had been an overpayment of the lodgement fee of \$200. Accordingly, please find enclosed a cheque for \$200.

If you wish to discuss any aspect of this matter, please do not hesitate to contact Anita George on (02) 6243 1235.

Yours sincerely

Tim Grimwade
General Manager
Adjudication Branch

