

# *Spier Consulting -*

## *Legal*

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**Ms S Black**  
**Director**  
**Mergers and Adjudication Division**  
**ACCC**

**31 March 2019**

*Dear Madam,*

*MFAA Application for Interim authorisation*

Thank you for your email of 27 March 2019.

The Applicant wishes to amend Application A1000432 seeking authorisation and interim authorisation for its currently authorised Disciplinary Rules, authorised by the ACCC as A91396.,

The MFAA notes that, since it lodged its Application in December 2018, the Banking Royal Commission has reported making a number of recommendations that the MFAA is considering adopting in its governance regime.

Further, the Government, either before or after the pending election, may introduce legislation that may impact on the MFAA governance regime.

Consequently, the MFAA estimates that it requires some 18 months to make any initial changes.

Given that Authorisation A 91396 expires on 12 June 2019, the MFAA seeks re authorisation and interim authorisation of its current Disciplinary Rules so that it can continue to enforce its governance regime, including the Code of Practice, while it

makes changes considering the Banking Royal Commission recommendations and any subsequent legislation and likely changes to ASIC guidelines.

Yours truly,

A handwritten signature in black ink, appearing to read "Hank Spier". The signature is written in a cursive, flowing style with a large initial "H" and a distinct "Spier" at the end.

Hank Spier



